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Date

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Attorney Docket No.

A0438Q1-US-NP

1772  
BHR

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of Sean X. Pan et al.

Group Art Unit: 1772

Application No.: 10/020,991

Examiner: S M Nolan

Filed: December 19, 2001

Confirmation No.: 8912

For: SUBSTRATE WITH RAISED SURFACE PORTION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

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Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form, except for U.S. Patents or published U.S. applications. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (excluding an RCE), **OR** (b) before the mailing date of a first Office Action on the merits (including an RCE). *No certification or fee is required.*

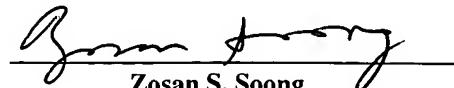
2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*

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a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**

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- 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. , filed , which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.



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Date August 16, 2004

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Form PTO-1449	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. A0438Q1-US-NP	APPLICATION NO. 10/020,991
INFORMATION DISCLOSURE STATEMENT <small>Use several sheets if necessary</small>		APPLICANT Sean X. Pan et al.	
		FILING DATE 12/19/2001	GROUP ART UNIT 1772

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
	2003/0113470 A1	6/19/03	Pan et al.	427	430.1
	2003/0113468 A1	6/19/03	Perry et al.	427	430.1
	2003/0113471 A1	6/19/03	Pan et al.	427	430.1

## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N

## OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)


EXAMINER

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Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.